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Attorney Docket No. 04269/LH

# NOV 0 7 2005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Keiichi AKAGAWA et al

10/500,086 Serial No. :

Filed June 24, 2004

LIGHT BEAM SWITCHING/ADJUSTING For

APPARATUS AND MANUFACTURING

METHOD THEREOF

2883 Art Unit

Kaveh C. Kianni Examiner

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (d) WITH STATEMENT UNDER 37 CFR 1.97(e) (1) AND FEE (§1.17(p))

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 CERTIFICATE OF FACSIMILE TRANSMISSION TO NO. 1-571-273-8300

TOTAL PAGES:26 I hereby certify that this paper is being facsimile transmitted to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on the date noted below.

Dated: November 7, 2005

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by credit card payment, authorization to charge the extension fee, or any other fee required in connection with this

#### SIR:

Submitted herewith are the following:

- Copy of a Supplementary European Search Report dated (1)September 29, 2005, issued in a counterpart European application;
- Copies of cited publications, which were not previously (2) submitted (except U.S. patents);
- Form PTO/SB/08A; and (3)
- A form 2038 is enclosed to cover the USPTO fee of (4) \$180.00 as set forth under 37 CFR 1.17(p) for filing an IDS at this stage of prosecution (after allowance and

before payment of the Issue Fee).

Authorization is given to charge any additional fees which may be required, or to credit any overpayment, to Account No. 06-1378.

PAGE 1/26 \* RCVD AT 11/7/2005 12:46:38 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/29 \* DNIS:2738300 \* CSID:+1212 319 5101 \* DURATION (mm-ss):11-3471

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PTO/SB/08A (08-00) Please type a plus sign (+) inside this box →

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				Δn	Application Number			Office: U.S. DEPARTMENT OF COMMERCE 10/500,086		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Application Number					
					Filing Date			June 24, 2004		
					First Named Inventor			K. AKAGAWA et al.		
					Group Art Unit			2883		
E					Examiner Name			Kaveh C. Kianni		
Sheet 1 of 1 At					Attorney Docket Number			04269/LH		
U.S. PATENT DOCUMENTS										
Exam. Inits*	Cite No <sup>1</sup>	Document Number			Kind Code <sup>2</sup>			Publication Date MM-DD-YYYY	Relevant Portion	
		5,587,341			A	MASAYUKI et al	AYUKI et al			
		5,841,917			A	JUNGERMAN et al	NGERMAN et al			
		6,32	0,126	В1	ENG et al		11-20-2001			
		6,420,782				ENG et al	et al			
	ŀ	0, 100, 100			В1					
FOREIGN PATENT DOCUMENTS										
Exam Inits	Cite No <sup>1</sup>	Offc³	Document Number4		Kind Code <sup>5</sup>	Name of Patentee of Applicant	or	Publication Date MM-DD-YYYY	Relevant Portion	T <sup>6</sup>
		JP	05-130038		A	NIPPON TELEGR & TELEPH CORP (NTT	)	05-25-1993		**
		JР	2001-305472		A	OLYMPUS OPTICAL		10-31-2001		•
	<b>.</b>		*English Language Abstract Attache							
			**English Langua Abstract Only Attached	ge						
		L								<u> </u>

Signature \* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line to citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

Considered

DATE MAILED: November 7, 2005

Examiner

<sup>1</sup> Unique citation designation number. 7 See kinds of U.S. Patent Documents. 5 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 6 For Jepanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 8 Place a check here if English translation is attached.

USP 6,420,782 listed on the attached PTO/SB/08A is a counterpart of USP 6,320,126 cited in the attached European Search Report. See the Annex to the Supplementary European Search Report.

The Supplementary European Search Report is in English, thereby satisfying the requirements for a concise explanation of relevance for any non-English language publications cited therein (MPEP 609 III A(3)).

### STATEMENT UNDER 37 CFR 1.97(e) (1)

Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the present Information Disclosure Statement. Said Communication bears a mailing date of September 29, 2005.

The filing of this Information Disclosure Statement is timely under the provisions of 37 CFR 1.97(d).

It is requested that an initialed copy of the Form PTO/SB/08A be returned to indicate that the publications listed therein have been considered and made of record.

Respectfully submitted,

Leonard Holtz, Reg. No. 22,97

Dated: November 7, 2005

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